

微分流形上微分学——流形上的微分运算—外微分与里积

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1 知识要素

1.1 外微分运算

定义 1.1 (外微分运算). 对 $\forall \Phi \in \Lambda^r(TM)$, 可定义以下外微分运算:

$$\begin{aligned}d\Phi(\mathbf{x}) &= d\left(\frac{1}{r!}\Phi_{i_1\dots i_r}dx^{i_1}\wedge\dots\wedge dx^{i_r}\right)(\mathbf{x}) \triangleq d\left(\frac{1}{r!}\Phi_{i_1\dots i_r}\right)\wedge dx^{i_1}\wedge\dots\wedge dx^{i_r} \\ &= \frac{1}{r!}\frac{\partial\Phi_{i_1\dots i_r}}{\partial x^s}(\mathbf{x})dx^s\wedge dx^{i_1}\wedge\dots\wedge dx^{i_r} \in \Lambda^{r+1}(TM).\end{aligned}$$

性质 1.1 (外微分运算的基本性质). 外微分运算具有如下基本性质.

1. 线性性: 对 $\forall \Phi, \Psi \in \Lambda^r(TM), \forall \alpha, \beta \in \mathbb{R}$, 有

$$d(\alpha\Phi + \beta\Psi) = \alpha d\Phi + \beta d\Psi \in \Lambda^{r+1}(TM);$$

2. Poincare 性: 对 $\forall \Phi \in \Lambda^r(TM)$, 有

$$d^2\Phi = d(d\Phi) = 0;$$

3. 反导性: 对 $\forall \Phi \in \Lambda^r(TM), \Psi \in \Lambda^s(TM)$, 有

$$d(\Phi \wedge \Psi) = d\Phi \wedge \Psi + (-1)^r\Phi \wedge d\Psi.$$

证明 通过直接计算, 可证明外微分运算的基本性质.

1. 线性性:

$$\begin{aligned}d(\alpha\Phi + \beta\Psi) &= d\left(\frac{\alpha}{r!}\Phi_{i_1\dots i_r} + \frac{\beta}{r!}\Psi_{i_1\dots i_r}\right)\wedge dx^{i_1}\wedge\dots\wedge dx^{i_r} \\ &= \frac{1}{r!}\frac{\partial}{\partial x^s}(\alpha\Phi_{i_1\dots i_r} + \beta\Psi_{i_1\dots i_r})dx^s\wedge dx^{i_1}\wedge\dots\wedge dx^{i_r} \\ &= \frac{\alpha}{r!}\frac{\partial\Phi_{i_1\dots i_r}}{\partial x^s}dx^s\wedge dx^{i_1}\wedge\dots\wedge dx^{i_r} + \frac{\beta}{r!}\frac{\partial\Psi_{i_1\dots i_r}}{\partial x^s}dx^s\wedge dx^{i_1}\wedge\dots\wedge dx^{i_r} \\ &= \alpha d\Phi + \beta d\Psi;\end{aligned}$$

2. Poincare 性:

$$d\Phi = \frac{1}{r!} \frac{\partial \Phi_{i_1 \dots i_r}}{\partial x^s}(\mathbf{x}) dx^s \wedge dx^{i_1} \wedge \dots \wedge dx^{i_r},$$

故有

$$d^2\Phi = \frac{1}{r!} \frac{\partial^2 \Phi_{i_1 \dots i_r}}{\partial x^t \partial x^s}(\mathbf{x}) dx^t \wedge dx^s \wedge dx^{i_1} \wedge \dots \wedge dx^{i_r} = 0.$$

此处考虑到 $\frac{\partial^2 \Phi_{i_1 \dots i_r}}{\partial x^t \partial x^s}(\mathbf{x})$ 关于指标 t 和 s 的对称性, 以及 $dx^t \wedge dx^s$ 关于指标 t 和 s 的反对称性.

3. 反导性:

$$\begin{aligned} d(\Phi \wedge \Psi) &= d\left(\frac{1}{r!s!} \Phi_{i_1 \dots i_r} \Psi_{j_1 \dots j_s} dx^{i_1} \wedge \dots \wedge dx^{i_r} \wedge dx^{j_1} \wedge \dots \wedge dx^{j_s}\right) \\ &= \frac{1}{r!s!} \frac{\partial}{\partial x^t} (\Phi_{i_1 \dots i_r} \Psi_{j_1 \dots j_s})(\mathbf{x}) dx^t \wedge dx^{i_1} \wedge \dots \wedge dx^{i_r} \wedge dx^{j_1} \wedge \dots \wedge dx^{j_s} \\ &= \frac{1}{r!s!} \left[\frac{\partial \Phi_{i_1 \dots i_r}}{\partial x^t}(\mathbf{x}) \Psi_{j_1 \dots j_s} + \frac{\partial \Psi_{j_1 \dots j_s}}{\partial x^t}(\mathbf{x}) \Phi_{i_1 \dots i_r} \right] dx^t \wedge dx^{i_1} \wedge \dots \wedge dx^{i_r} \wedge dx^{j_1} \\ &\quad \wedge \dots \wedge dx^{j_s} \\ &= \frac{1}{r!} \left[\frac{\partial \Phi_{i_1 \dots i_r}}{\partial x^t}(\mathbf{x}) dx^t \wedge dx^{i_1} \wedge \dots \wedge dx^{i_r} \right] \wedge \left(\frac{1}{s!} \Psi_{j_1 \dots j_s} dx^{j_1} \wedge \dots \wedge dx^{j_s} \right) \\ &\quad + (-1)^r \left(\frac{1}{r!} \Phi_{i_1 \dots i_r} dx^{i_1} \wedge \dots \wedge dx^{i_r} \right) \wedge \frac{1}{s!} \left[\frac{\partial \Psi_{j_1 \dots j_s}}{\partial x^t}(\mathbf{x}) dx^t \wedge dx^{j_1} \wedge \dots \wedge dx^{j_s} \right] \\ &= d\Phi \wedge \Psi + (-1)^r \Phi \wedge d\Psi. \end{aligned} \quad \square$$

定理 1.2 (外微分的内蕴形式). 对 $\forall \Phi \in \Lambda^r(TM)$, 有

$$d\Phi = (r+1)\mathcal{A}(\nabla \otimes \Phi).$$

证明 考虑到 Christoffel 符号关于协变指标的对称性, 可有

$$\begin{aligned} d\Phi &= \frac{1}{r!} \frac{\partial \Phi_{i_1 \dots i_r}}{\partial x^s}(\mathbf{x}) dx^s \wedge dx^{i_1} \wedge \dots \wedge dx^{i_r} \\ &= \frac{1}{r!} \left(\frac{\partial \Phi_{i_1 \dots i_r}}{\partial x^s}(\mathbf{x}) - \Gamma_{s i_1}^t \Phi_{t i_2 \dots i_r} - \dots - \Gamma_{s i_r}^t \Phi_{i_1 \dots i_{r-1} t} \right) dx^s \wedge dx^{i_1} \wedge \dots \wedge dx^{i_r} \\ &= \frac{1}{r!} \nabla_s \Phi_{i_1 \dots i_r} dx^s \wedge dx^{i_1} \wedge \dots \wedge dx^{i_r} \\ &= \frac{(r+1)!}{r!} \nabla_s \Phi_{i_1 \dots i_r} \mathcal{A}(dx^s \otimes dx^{i_1} \otimes \dots \otimes dx^{i_r}) \\ &= (r+1)\mathcal{A}(\nabla \otimes \Phi). \end{aligned} \quad \square$$

1.2 里积运算

定义 1.2 (里积运算 (Interior product)). 里积运算 $i_u \Phi$ 定义为

$$i_u \Phi : \mathcal{T}^r(\mathbb{R}^m) \ni \Phi \mapsto i_u \Phi \in \mathcal{T}^{r-1}(\mathbb{R}^m),$$

此处

$$i_u \Phi(v_2, \dots, v_r) \triangleq \Phi(u, v_2, \dots, v_r) \in \mathbb{R}, \quad \forall v_2, \dots, v_r \in \mathbb{R}^m.$$

性质 1.3 (里积运算的基本性质 1). 里积运算的基本性质可归纳如下.

1. $i_u(\alpha\Phi + \beta\Psi) = \alpha i_u\Phi + \beta i_u\Psi, \quad \forall \alpha, \beta \in \mathbb{R}, \forall \Phi, \Psi \in \mathcal{T}^r(\mathbb{R}^m);$
2. $i_{\alpha u + \beta v} = \alpha i_u + \beta i_v.$

证明 可基于里积运算的定义, 证明其基本性质 1.

1. 根据定义, 有

$$\begin{aligned} i_u(\alpha\Phi + \beta\Psi)(v_2, \dots, v_r) &\triangleq (\alpha\Phi + \beta\Psi)(u, v_2, \dots, v_r) \\ &= \alpha\Phi(u, v_2, \dots, v_r) + \beta\Psi(u, v_2, \dots, v_r) \\ &= (\alpha i_u\Phi + \beta i_u\Psi)(v_2, \dots, v_r), \end{aligned}$$

即有 $i_u(\alpha\Phi + \beta\Psi) = \alpha i_u\Phi + \beta i_u\Psi.$

2. 根据定义, 有

$$\begin{aligned} i_{\alpha u + \beta v}\Phi(v_2, \dots, v_r) &\triangleq \Phi(\alpha u + \beta v, v_2, \dots, v_r) \\ &= \alpha\Phi(u, v_2, \dots, v_r) + \beta\Phi(v, v_2, \dots, v_r) \\ &= (\alpha i_u\Phi + \beta i_v\Phi)(v_2, \dots, v_r), \end{aligned}$$

即有 $i_{\alpha u + \beta v}\Phi = \alpha i_u\Phi + \beta i_v\Phi,$ 亦即有

$$i_{\alpha u + \beta v} = \alpha i_u + \beta i_v.$$

至此证毕. □

性质 1.4 (里积运算的基本性质 2).

$$1. i_u(\theta_1 \wedge \dots \wedge \theta_r) = \sum_{i=1}^r (-1)^{i+1} (i_u\theta_i) \theta_1 \wedge \dots \wedge \overset{\circ}{\theta}_i \wedge \dots \wedge \theta_r$$

此处 $i_u\theta_i = \theta_i(u), \quad \forall \theta_1, \dots, \theta_r \in T^*M, u \in TM,$ 其中 $\theta_1 \wedge \dots \wedge \overset{\circ}{\theta}_i \wedge \dots \wedge \theta_r$ 表示在作用过程中去掉带圈的项.

2. 反导性: 对 $\forall \Phi \in \Lambda^r(TM), \forall \Psi \in \Lambda^s(TM),$ 有

$$i_u(\Phi \wedge \Psi) = i_u\Phi \wedge \Psi + (-1)^r \Phi \wedge i_u\Psi.$$

证明 可基于里积运算的定义以及基本性质 1, 证明其基本性质 2.

1. 根据定义, 有

$$\begin{aligned}
 i_{\mathbf{u}}(\boldsymbol{\theta}_1 \wedge \cdots \wedge \boldsymbol{\theta}_r)(\mathbf{v}_2, \cdots, \mathbf{v}_r) &\triangleq \boldsymbol{\theta}_1 \wedge \cdots \wedge \boldsymbol{\theta}_r(\mathbf{u}, \mathbf{v}_2, \cdots, \mathbf{v}_r) \\
 &= \begin{vmatrix} \boldsymbol{\theta}_1(\mathbf{u}) & \boldsymbol{\theta}_1(\mathbf{v}_2) & \cdots & \boldsymbol{\theta}_1(\mathbf{v}_r) \\ \vdots & \vdots & & \vdots \\ \boldsymbol{\theta}_i(\mathbf{u}) & \boldsymbol{\theta}_i(\mathbf{v}_2) & \cdots & \boldsymbol{\theta}_i(\mathbf{v}_r) \\ \vdots & \vdots & & \vdots \\ \boldsymbol{\theta}_r(\mathbf{u}) & \boldsymbol{\theta}_r(\mathbf{v}_2) & \cdots & \boldsymbol{\theta}_r(\mathbf{v}_r) \end{vmatrix} \\
 &= \sum_{i=1}^r (-1)^{i+1} \boldsymbol{\theta}_i(\mathbf{u}) (\boldsymbol{\theta}_1 \wedge \cdots \wedge \overset{\circ}{\boldsymbol{\theta}}_i \wedge \cdots \wedge \boldsymbol{\theta}_r)(\mathbf{v}_2, \cdots, \mathbf{v}_r),
 \end{aligned}$$

即有

$$i_{\mathbf{u}}(\boldsymbol{\theta}_1 \wedge \cdots \wedge \boldsymbol{\theta}_r) = \sum_{i=1}^r (-1)^{i+1} (i_{\mathbf{u}} \boldsymbol{\theta}_i) \boldsymbol{\theta}_1 \wedge \cdots \wedge \overset{\circ}{\boldsymbol{\theta}}_i \wedge \cdots \wedge \boldsymbol{\theta}_r.$$

2. 基于性质 (1), 计算

$$\begin{aligned}
 i_{\mathbf{u}}(\boldsymbol{\Phi} \wedge \boldsymbol{\Psi}) &= i_{\mathbf{u}} \left(\frac{1}{r!s!} \boldsymbol{\Phi}_{i_1 \cdots i_r} \boldsymbol{\Psi}_{j_1 \cdots j_s} dx^{i_1} \wedge \cdots \wedge dx^{i_r} \wedge dx^{j_1} \wedge \cdots \wedge dx^{j_s} \right) \\
 &= \frac{1}{r!s!} \boldsymbol{\Phi}_{i_1 \cdots i_r} \boldsymbol{\Psi}_{j_1 \cdots j_s} i_{\mathbf{u}}(dx^{i_1} \wedge \cdots \wedge dx^{i_r} \wedge dx^{j_1} \wedge \cdots \wedge dx^{j_s}) \\
 &= \frac{1}{r!s!} \boldsymbol{\Phi}_{i_1 \cdots i_r} \boldsymbol{\Psi}_{j_1 \cdots j_s} \left[\sum_{p=1}^r (-1)^{p+1} (i_{\mathbf{u}} dx^{i_p}) dx^{i_1} \wedge \cdots \wedge \overset{\circ}{dx}^{i_p} \wedge \cdots \wedge dx^{i_r} \wedge dx^{j_1} \wedge \cdots \right. \\
 &\quad \wedge dx^{j_s} + \sum_{q=1}^s (-1)^{r+q+1} (i_{\mathbf{u}} dx^{j_q}) dx^{i_1} \wedge \cdots \wedge dx^{i_r} \wedge dx^{j_1} \wedge \cdots \wedge \overset{\circ}{dx}^{j_q} \wedge \cdots \\
 &\quad \left. \wedge dx^{j_s} \right] \\
 &= \left[\frac{1}{r!} \boldsymbol{\Phi}_{i_1 \cdots i_r} \sum_{p=1}^r (-1)^{p+1} (i_{\mathbf{u}} dx^{i_p}) dx^{i_1} \wedge \cdots \wedge \overset{\circ}{dx}^{i_p} \wedge \cdots \wedge dx^{i_r} \right] \\
 &\quad \wedge \left(\frac{1}{s!} \boldsymbol{\Psi}_{j_1 \cdots j_s} dx^{j_1} \wedge \cdots \wedge dx^{j_s} \right) + (-1)^r \left(\frac{1}{r!} \boldsymbol{\Phi}_{i_1 \cdots i_r} dx^{i_1} \wedge \cdots \wedge dx^{i_r} \right) \\
 &\quad \wedge \left[\frac{1}{s!} \boldsymbol{\Psi}_{j_1 \cdots j_s} \sum_{q=1}^s (-1)^{q+1} (i_{\mathbf{u}} dx^{j_q}) dx^{j_1} \wedge \cdots \wedge \overset{\circ}{dx}^{j_q} \wedge \cdots \wedge dx^{j_s} \right] \\
 &= i_{\mathbf{u}} \boldsymbol{\Phi} \wedge \boldsymbol{\Psi} + (-1)^r \boldsymbol{\Phi} \wedge i_{\mathbf{u}} \boldsymbol{\Psi}. \quad \square
 \end{aligned}$$

定理 1.5 (外微分计算式). 对 $\forall \boldsymbol{\Phi} \in \Lambda^r(TM)$, 有

$$\begin{aligned}
 d\boldsymbol{\Phi}(\mathbf{u}_1, \cdots, \mathbf{u}_{r+1}) &= \sum_{i=1}^{r+1} (-1)^{i+1} \mathbf{u}_i(\boldsymbol{\Phi}(\mathbf{u}_1, \cdots, \overset{\circ}{\mathbf{u}}_i, \cdots, \mathbf{u}_{r+1})) \\
 &\quad + \sum_{1 \leq i < j \leq r+1} (-1)^{i+j} \boldsymbol{\Phi}([\mathbf{u}_i, \mathbf{u}_j], \mathbf{u}_1, \cdots, \overset{\circ}{\mathbf{u}}_i, \cdots, \overset{\circ}{\mathbf{u}}_j, \cdots, \mathbf{u}_{r+1}).
 \end{aligned}$$

证明 利用数学归纳法, 设对 $r-1$ 阶反对称张量成立关系式, 以下考虑 $\Phi \in \Lambda^r(TM)$. 计算

$$\begin{aligned}
 d\Phi(\mathbf{u}_1, \dots, \mathbf{u}_{r+1}) &= (i_{\mathbf{u}_1} \circ d\Phi)(\mathbf{u}_2, \dots, \mathbf{u}_{r+1}) \\
 &= L_{\mathbf{u}_1}\Phi(\mathbf{u}_2, \dots, \mathbf{u}_{r+1}) - (d \circ i_{\mathbf{u}_1}\Phi)(\mathbf{u}_2, \dots, \mathbf{u}_{r+1}) \\
 &= \mathbf{u}_1(\Phi(\mathbf{u}_2, \dots, \mathbf{u}_{r+1})) - \sum_{i=2}^{r+1} \Phi(\mathbf{u}_2, \dots, [\mathbf{u}_1, \mathbf{u}_i], \dots, \mathbf{u}_{r+1}) \\
 &\quad - \sum_{i=2}^{r+1} (-1)^i \mathbf{u}_i \left(i_{\mathbf{u}_1}\Phi(\mathbf{u}_2, \dots, \overset{\circ}{\mathbf{u}}_i, \dots, \mathbf{u}_{r+1}) \right) \\
 &\quad - \sum_{2 \leq i < j \leq r+1} (-1)^{i+j} i_{\mathbf{u}_1}\Phi \left([\mathbf{u}_i, \mathbf{u}_j], \mathbf{u}_2, \dots, \overset{\circ}{\mathbf{u}}_i, \dots, \overset{\circ}{\mathbf{u}}_j, \dots, \mathbf{u}_{r+1} \right) \\
 &= \mathbf{u}_1(\Phi(\mathbf{u}_2, \dots, \mathbf{u}_{r+1})) + \sum_{i=2}^{r+1} (-1)^{i+1} \mathbf{u}_i \left(\Phi(\mathbf{u}_1, \dots, \overset{\circ}{\mathbf{u}}_i, \dots, \mathbf{u}_{r+1}) \right) \\
 &\quad + \sum_{i=2}^{r+1} (-1)^{i+1} \Phi \left([\mathbf{u}_1, \mathbf{u}_i], \mathbf{u}_2, \dots, \overset{\circ}{\mathbf{u}}_i, \dots, \mathbf{u}_{r+1} \right) \\
 &\quad + \sum_{2 \leq i < j \leq r+1} (-1)^{i+j} \Phi \left([\mathbf{u}_i, \mathbf{u}_j], \mathbf{u}_1, \dots, \overset{\circ}{\mathbf{u}}_i, \dots, \overset{\circ}{\mathbf{u}}_j, \dots, \mathbf{u}_{r+1} \right) \\
 &= \sum_{i=1}^{r+1} (-1)^{i+1} \mathbf{u}_i \left(\Phi(\mathbf{u}_1, \dots, \overset{\circ}{\mathbf{u}}_i, \dots, \mathbf{u}_{r+1}) \right) \\
 &\quad + \sum_{1 \leq i < j \leq r+1} (-1)^{i+j} \Phi \left([\mathbf{u}_i, \mathbf{u}_j], \mathbf{u}_1, \dots, \overset{\circ}{\mathbf{u}}_i, \dots, \overset{\circ}{\mathbf{u}}_j, \dots, \mathbf{u}_{r+1} \right).
 \end{aligned}$$

考虑 $\omega \in \Lambda^1(TM)$, 则有

$$d\omega(\mathbf{X}, \mathbf{Y}) = \mathbf{X}(\omega(\mathbf{Y})) - \mathbf{Y}(\omega(\mathbf{X})) - \omega([\mathbf{X}, \mathbf{Y}]), \quad \forall \mathbf{X}, \mathbf{Y} \in \mathcal{C}^\infty(TM).$$

此式亦可通过直接计算获得, 如下所示:

$$\begin{aligned}
 d\omega(\mathbf{X}, \mathbf{Y}) &= d(\omega_i dx^i) = \frac{\partial \omega_i}{\partial x^j}(\mathbf{x}) dx^j \wedge dx^i(\mathbf{X}, \mathbf{Y}) \\
 &= \frac{\partial \omega_i}{\partial x^j}(\mathbf{x}) (dx^j \otimes dx^i - dx^i \otimes dx^j)(\mathbf{X}, \mathbf{Y}) \\
 &= \frac{\partial \omega_i}{\partial x^j}(\mathbf{x}) (X^j Y^i - X^i Y^j).
 \end{aligned}$$

等式右端为

$$\begin{aligned}
 &\mathbf{X}(\omega(\mathbf{Y})) - \mathbf{Y}(\omega(\mathbf{X})) - \omega([\mathbf{X}, \mathbf{Y}]) \\
 &= \mathbf{X}(\omega_i Y^i) - \mathbf{Y}(\omega_i X^i) - \omega \left(\left[X^i \frac{\partial}{\partial x^i}, Y^j \frac{\partial}{\partial x^j} \right] \right) \\
 &= X^k \frac{\partial}{\partial x^k} (\omega_i Y^i)(\mathbf{x}) - Y^k \frac{\partial}{\partial x^k} (\omega_i X^i)(\mathbf{x}) - \omega \left(X^i \frac{\partial Y^j}{\partial x^i}(\mathbf{x}) \frac{\partial}{\partial x^j} - Y^j \frac{\partial X^i}{\partial x^j}(\mathbf{x}) \frac{\partial}{\partial x^i} \right) \\
 &= X^k \left(\frac{\partial \omega_i}{\partial x^k} Y^i + \omega_i \frac{\partial Y^i}{\partial x^k} \right) (\mathbf{x}) - Y^k \left(\frac{\partial \omega_i}{\partial x^k} X^i + \omega_i \frac{\partial X^i}{\partial x^k} \right) (\mathbf{x}) \\
 &\quad - \omega_i \left(X^j \frac{\partial Y^i}{\partial x^j} - Y^j \frac{\partial X^i}{\partial x^j} \right) \\
 &= \frac{\partial \omega_i}{\partial x^k}(\mathbf{x}) (X^k Y^i - X^i Y^k).
 \end{aligned}$$

□

2 应用事例

3 建立路径

微分流形上微分学