



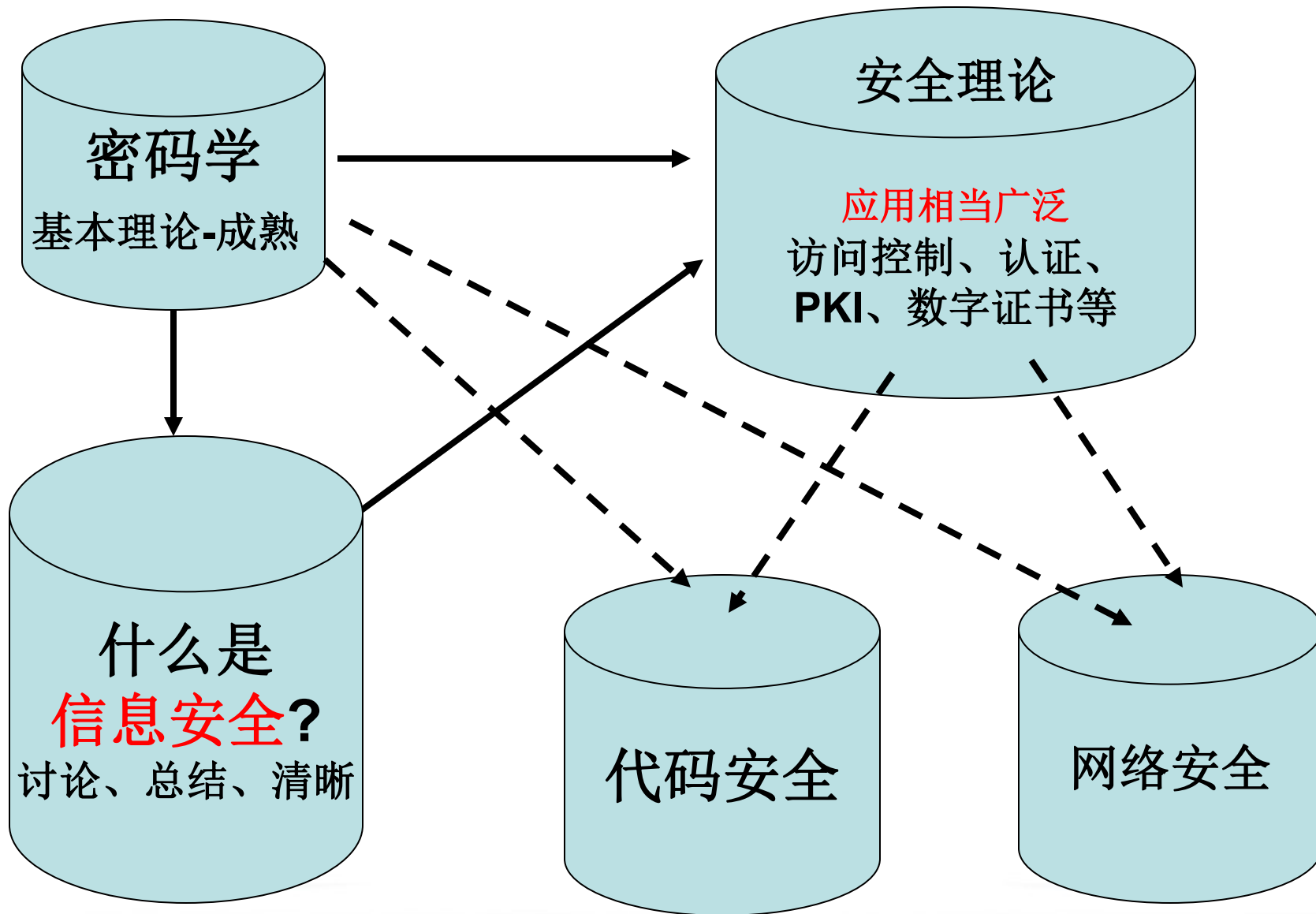
# Information Security 10

## Authentication

- Basic protocol constructions
- Kerberos

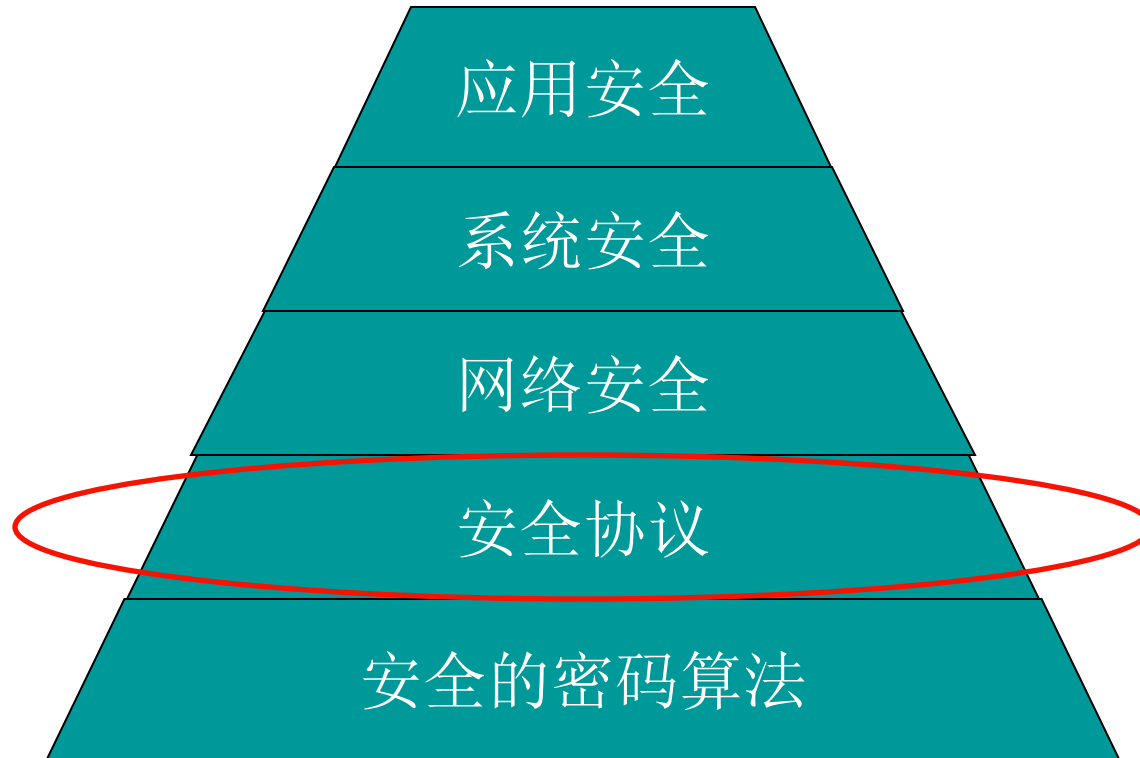
Chapter14 and supplements

# 内容间的联系





# Review: 安全层次





# Outline of Talk

- Definitions
- Passwords
  - Unix Passwords
  - One time passwords
- Challenge-response techniques
  - Basic protocol constructions
  - Also “one-time”
- Authentication Involving TTP
  - Needham-Schroeder
  - Kerberos



# Definitions

## Authentication:

- A *claimant* tries to show a *verifier* that the claimant is as declared
  - Identification
  - **Entity Authentication**



# Basis of Authentication

- Something *known* - passwords, PINs, keys...
- Something *possessed* - cards, handhelds...
- Something *inherent* - biometrics



# Definitions

- Claimant (A): The party that claims a certain identity [and provides evidence of possessing the identity]
  - e.g. through possessing a specific secret
- Verifier (B): The party that verifies the identity of the claimant (accepts or rejects)
  - e.g. through verifying the possession of the secret by claimant



# Definitions

- 单向 Unilateral authentication
- 双向 Mutual authentication





# Definitions

- Data-Origin Authentication
  - message authentication
- Data Integrity
- Entity Authentication



# Definitions

- Data-Origin Authentication
- Data Integrity
  - Early textbooks, viewed these two notions with no essential difference
  - However, two **very** different notions
    - Auth. necessarily involves communications
    - involves identifying the source of a message
    - the **most significantly**, freshness of a message; liveness of the message source.
      - message is fresh or not should be determined by apps.



# Definitions

- Data Integrity
- Entity Authentication
  - Often, a claimed identity in a protocol is a message in its own right. So, confidence about a claimed identity and about the liveness of the claimant can be established by applying data-origin authentication mechanisms.



# Authentication scheme

- Weak authentication
  - Passwords, PIN, etc
  - One-time passwords(semi-strong authentication)
- Strong (cryptographic) authentication
  - Challenge – Response Mechanisms
- Zero-knowledge authentication
  - Allow Claimant to demonstrate knowledge of a secret without revealing any information whatsoever of the secret.



# Outline of Talk

- Definitions
- Passwords
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- Challenge-response techniques
  - Basic protocol constructions
  - Also “one-time”
- Authentication Involving TTP



# Challenge-response authentication

- numerous protocol-based techniques for realizing authentication
- the basic protocol constructions, such as *C-R techniques*, in particular those which should be regarded as **good** ones, and the simple technical ideas behind the good constructions, are not so diverse.
- freshness or liveness are the most basic goals



# Challenge-response authentication

- Alice is identified by a *secret* she possesses
- *Bob* needs to know that Alice does indeed possess this secret
- *Alice* provides ***response*** to a **time-variant challenge** (Nonce, Number used **ONCE**)
- Response depends on ***both*** secret and challenge
- To defense sniffer attack



# Challenge-Response technique

- 询问/应答方式(Challenge/Response)
  - B期望从A获得一个条件
    - 首先发给A一个随机值(challenge)
    - A收到这个值之后，对它作某种变换，得到response，并送回去
    - B收到这个response，可以验证A符合这个条件
  - 在有的协议中，这个challenge也称为Nonce (Number used **ONCE**)
    - 可能明文传输，也可能密文传输
  - 这个条件可以是知道某个口令，也可能是其他的事情
    - 变换例子：用密钥加密，说明A知道这个密钥；简单运算，比如增一，说明A知道这个随机值
  - 常用于交互式的认证协议中





- 时间戳

- A收到一个消息，根据消息中的时间戳信息，判断消息的有效性
  - 如果消息的时间戳与A所知道的当前时间足够接近
- 这种方法要求不同参与者之间的时钟需要同步
  - 在网络环境中，特别是在分布式网络环境中，时钟同步并不容易做到
  - 一旦时钟同步失败
    - 要么协议不能正常服务，影响可用性(availability)，造成拒绝服务(DOS)
    - 要么放大时钟窗口，造成攻击的机会
- 时间窗大小的选择应根据消息的时效性来确定



# Challenge-response authentication

Using

- Symmetric encryption
- One way functions
- Public key encryption
- Digital signatures



# Attacks on Authentication Protocols

- An attack consists of an attacker or a coalition of them (Malice) achieving an unentitled gain.
  - a serious one such as Malice obtaining a secret message or key,
  - or a less serious one such as Malice successfully deceiving a principal to establish a wrong belief about a claimed property.
- Authentication protocols are insecure **not because** the underlying cryptographic algorithm they use are weak, **but because** of protocol design flaws.
- usually assume that the underlying cryptographic algorithms are "perfect" without considering their possible weakness.

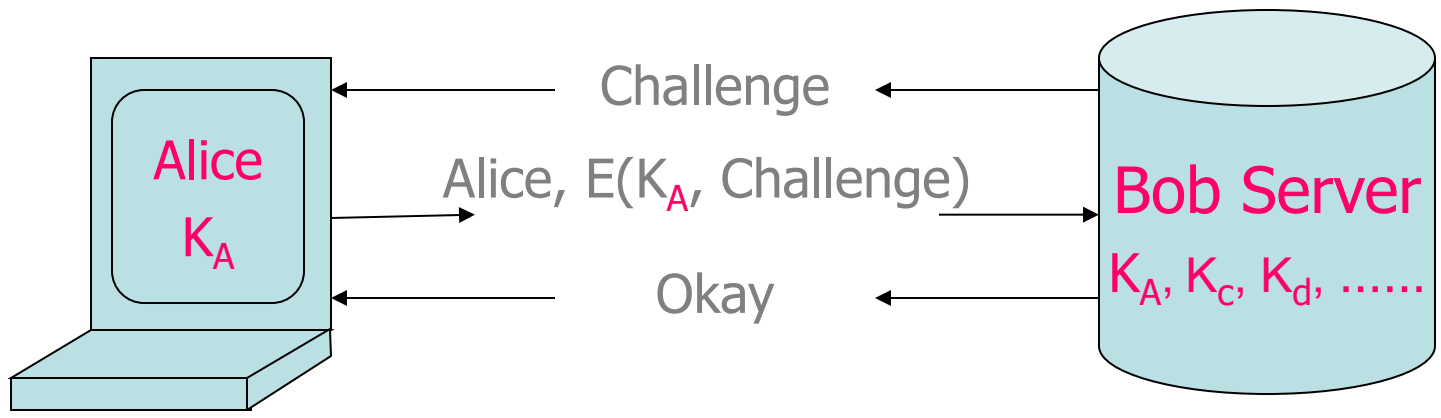


# Conventions

- An honest principal in a protocol does not understand the semantical meanings of any message before a protocol terminates successfully. may make wrong interpretations on protocol messages.
- An honest principal in a protocol cannot recognize a random-looking number (a nonce, a sequence number or a cryptographic key), unless the random-looking number has been created by the principal in the current run of the protocol
- Stateless, does not maintain any state information after a protocol run terminates successfully
- Malice knows the "stupidities" (weaknesses) of honest principals, and will always try to exploit them.

# using Symmetric Key Encryption

- Alice and Bob share a key  $K_A$





# Unilateral: Using random numbers

- Bob  $\rightarrow$  Alice:  $r_b$
- Alice  $\rightarrow$  Bob:  $E_K(r_b, B)$
- Bob checks to see if  $r_b$  is the one it sent out
  - Also checks “ $B$ ” - prevents **reflection attack**
- $r_b$  must be ***non-repeating, random***
  - prevents **replay attack**



# Reflection attack

- A reflection attack is a method of attacking a challenge-response authentication system that uses the same protocol in both directions. That is, the same challenge-response protocol is used by each side to authenticate the other side.
- Challenge-response reflection attack  
*Where  $N$  is a challenge*
- $B \rightarrow I(A): N$   
 $I(A) \rightarrow B: N$   
 $B \rightarrow I(A): E_K\{N\}$   
 $I(A) \rightarrow B: E_K\{N\}$



# A variation for mechanism

- Bob  $\rightarrow$  Alice:  $E_K(r_b, B)$
- Alice  $\rightarrow$  Bob:  $r_b$
  
- Bob
  - accepts, if returned  $r_b$  is correct
  - rejects, otherwise





# Unilateral: Using timestamps

- Time-Based **Implicit** Challenge
- Alice  $\rightarrow$  Bob:  $E_K(t_A, B)$
- Bob decrypts and verified that timestamp is OK
- Parameter **B** prevents reflection of same message in  $B \rightarrow A$  direction



# mutual: using random numbers

- Bob  $\rightarrow$  Alice:  $r_b$
- Alice  $\rightarrow$  Bob:  $E_K(r_a, r_b, B)$ 
  - Alice Challenge Bob
- Bob  $\rightarrow$  Alice:  $E_K(r_a, r_b)$
- Alice checks that  $r_a, r_b$  are the ones used earlier



# Shortcomings..

- multiple server, should share different keys
  - Key Distribution ?
  - Key management ?



# Shortcomings..

- Claimant and verifier required to share a symmetric key
  - A priori key distribution for small, closed systems
  - In larger systems, centralized (on-line) key server required
- Often combined with key agreement (e.g.
  - Needham-Schroeder, Kerberos)
- Assume:
  - prior existence of a shared secret key



# Challenge-response authentication

Using

- Symmetric encryption
- One way functions
- Public key encryption
- Digital signatures



# based on keyed OWFs

- Instead of encryption, used keyed MAC  $h_K$
- Check: compute MAC, and check with message
- SKID2 (unilateral), and SKID3(mutual)



# Mutual: using keyed MAC – SKID3

- Bob  $\rightarrow$  Alice:  $r_b$
- Alice  $\rightarrow$  Bob:  $r_a, h_K(r_a, r_b, B)$
- Bob  $\rightarrow$  Alice:  $h_K(r_a, r_b, A)$



# Unilateral: using keyed MAC – SKID2

- Bob  $\rightarrow$  Alice:  $r_b$
- Alice  $\rightarrow$  Bob:  $r_a, h_K(r_a, r_b, B)$
  
- Same as SKID3 without last exchange





# Challenge-response authentication

Using

- Symmetric encryption
- One way functions
- **Public key encryption**
- Digital signatures



# Authentication based on public key decryption

*Witness to chosen  
random  $r$*

*Challenge to  
Alice –  
encrypted with  
her public key*

- Bob  $\rightarrow$  Alice:  $h(r), B, PU_A(r, B)$
- Alice  $\rightarrow$  Bob:  $r$

*Alice decrypts challenge to get  $r$ . Checks with  $h(r)$ . Sends  $r$  back for Bob to check.*



# Challenge-response authentication

Using

- Symmetric encryption
- One way functions
- Public key encryption
- Digital signatures



# Unilateral Authentication using Signatures

Bob  $\rightarrow$  Alice:  $r_B$

Alice  $\rightarrow$  Bob:  $cert_A, r_A, B, PR_A(r_A, r_B, B)$

Bob checks:

- Identifier "B" is its own
- Signature is valid (after getting public key of Alice using certificate)
- Signed  $r_A$  prevents chosen-text attacks



# Mutual Authentication using Signatures

Bob  $\rightarrow$  Alice:  $r_B$

Alice  $\rightarrow$  Bob:  $cert_A, r_A, B, PR_A(r_A, r_B, B)$

Bob  $\rightarrow$  Alice:  $cert_B, A, PR_B(r_A, r_B, A)$



## Time-Based Implicit Challenge

Alice  $\rightarrow$  Bob:  $cert_A, t_A, B, PR_A(t_A, B)$

Bob checks:

- Timestamp OK
- Identifier "B" is its own
- Signature is valid (after getting public key of Alice using certificate)



# Standardization of the Challenge-response

- The ISO and the IEC (the International Electrotechnical Commission) have standardized **the three** challenge-response mechanisms as the basic constructions for **unilateral entity authentication** mechanisms.
- "ISO Two-Pass Unilateral Authentication Protocol":  
 $B \rightarrow A : R_B \parallel \text{Text1}$   
 $A \rightarrow B : \text{Token}_{AB}$ 
  - $\text{Token}_{AB} = \text{Text3} \parallel K_{AB}(R_B \parallel B \parallel \text{Text2})$ .



# Outline of Talk

- Definitions
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- Challenge-response techniques
- **Authentication Involving TTP**
  - Needham-Schroeder
  - Kerberos





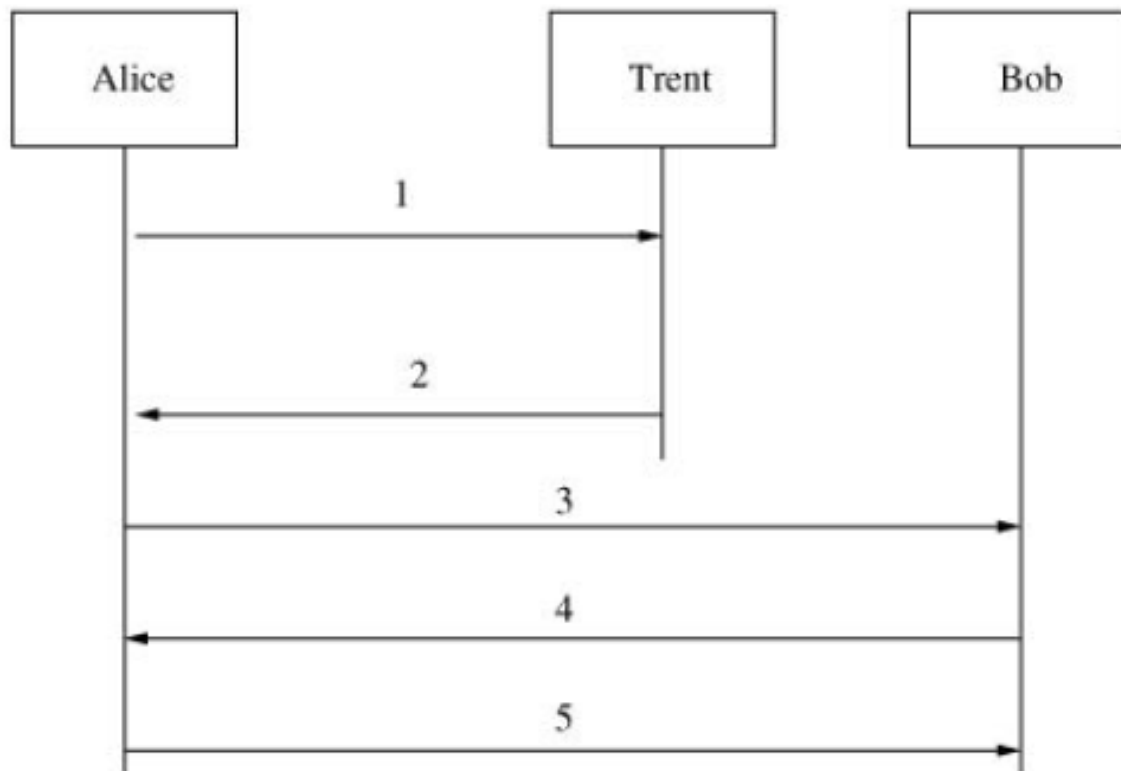
# Authentication Involving TTP

- Authenticated key establishment protocols usually use a **trusted third party** (TTP), we usually name him Trent
- The usual role of Trent is key distribution center (KDC)
  - Trent serves a large population of end users, he shares a long-term key with each of these users, e.g.,  $K_{AT}$ ,  $K_{BT}$
  - Trent generates random session keys for end users, e.g.,  $K_{AB}$
- Using Trent's service, secure communication between any two end users can be achieved without having them to meet physically; they can run an authentication protocol to establish a shared session key
- After a session finishes, end users can forget each other

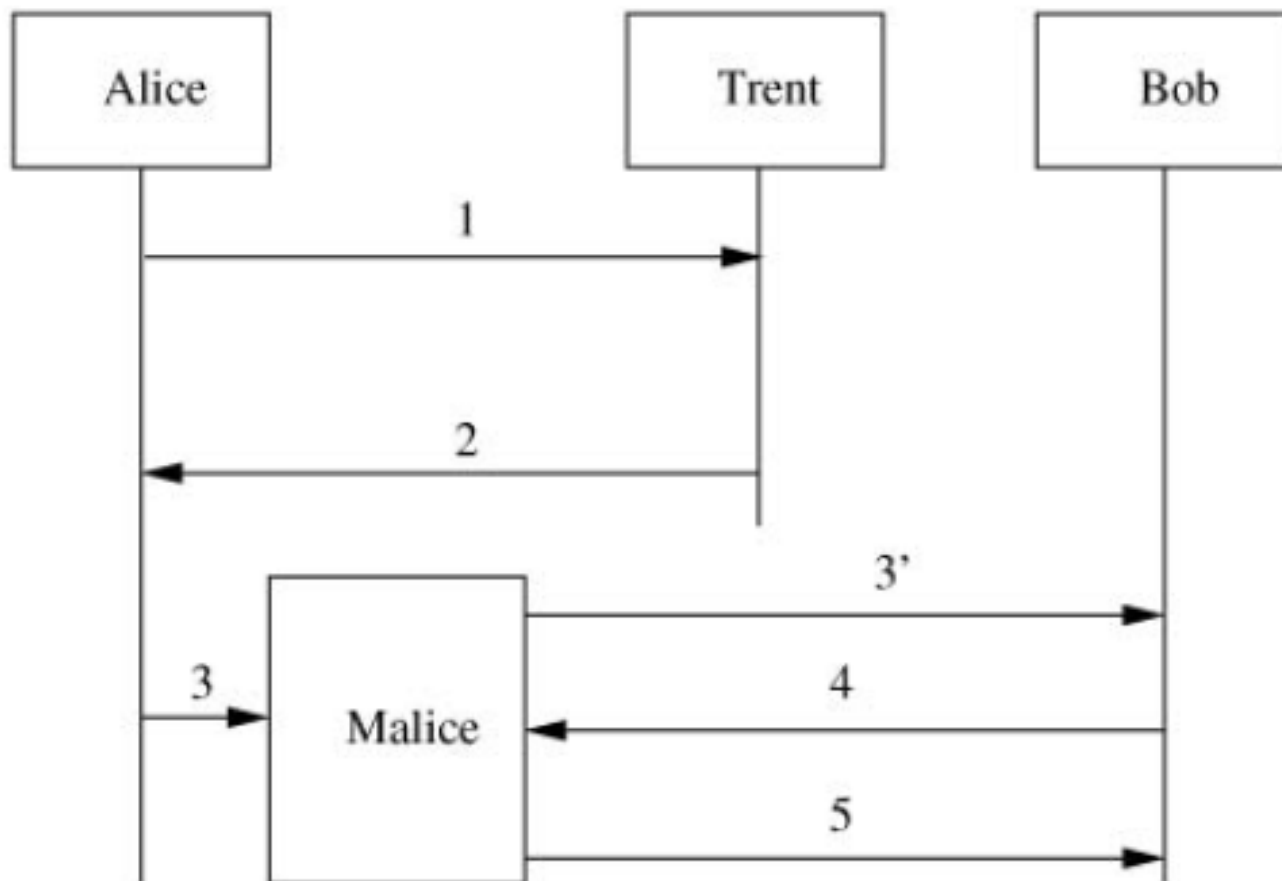


# Needham-Schroeder Protocol

- Probably the most well-known authentication protocol
- Published in 1978, found flawed in 1981 by Denning and Sacco
- Corrected version becomes the basis for Kerberos
- PREMISE: Alice and Trent share key  $K_{AT}$ ; Bob and Trent share key  $K_{BT}$ .
- GOAL: Alice and Bob want to establish a new and shared secret key  $K$ .



1. Alice creates  $N_A$  at random and sends to Trent:  $Alice, Bob, N_A$ ;
2. Trent generates  $K$  at random and sends to Alice:  $\{N_A, K, Bob, \{K, Alice\}_{K_{BT}}\}_{K_{AT}}$ ;
3. Alice decrypts, checks her nonce  $N_A$ , checks Bob's ID and sends to Bob:  $Trent, \{K, Alice\}_{K_{BT}}$ ;
4. Bob decrypts, checks Alice's ID, creates random  $N_B$  and sends to Alice:  $\{I'm Bob! N_B\}_K$ ;
5. Alice sends to Bob:  $\{I'm Alice! N_B - 1\}_K$ .



1 and 2. (same as in a normal run)

3. Alice sends to Malice("Bob"): ...

3'. Malice("Alice") sends to Bob:  $\{K', Alice\}_{K_{BT}}$ ;

4. Bob decrypts, checks Alice's ID and sends to Malice("Alice"):  $\{I'm Bob!N_B\}_{K'}$ ;

5. Malice("Alice") sends to Bob:  $\{I'm Alice!N_B - 1\}_{K'}$ .



# An Attack: Message Replay Attack

- **RESULT OF ATTACK**

- Bob thinks he is sharing a new session key with Alice while actually the key is an old one and may be known to Malice.

- **Fix: Using Timestamp**

2. Trent sends to Alice:  $\{Bob, K, T, \{Alice, K, T\}_{K_{BT}}\}_{K_{AT}}$ ;

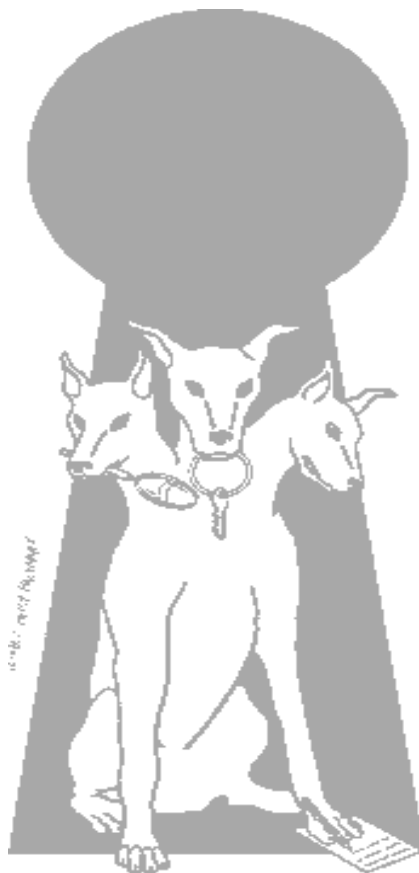
3. Alice sends to Bob:  $\{Alice, K, T\}_{K_{BT}}$ ;

1,4,5 Same as in the Needham-Schroeder.

- A,B checking

$$|Clock - T| < \Delta t_1 + \Delta t_2$$

# KERBEROS



希腊神话里看护地狱之门的三头狗



# Kerberos认证协议的历史

- Kerberos是一个经过长期考验的认证协议
  - 80年代中期
  - 是MIT的Athena工程的产物
  - 版本
    - 前三个版本仅用于内部
    - 第四版得到了广泛的应用
    - 第五版于1989年开始设计
      - RFC 1510, 1993年确定
      - 标准Kerberos
- 解决的问题
  - 认证、数据完整性、保密性



# KERBEROS

- 解决的问题是：在一个分布式环境中，用户希望获取服务器上提供的服务。服务器能限制授权用户的访问，并能对服务请求进行认证
- 处理三种威胁：
  - 用户伪装成另一个用户访问服务器
  - 用户更改工作站的网络地址
  - 用户窃听报文交换过程，利用重放攻击进入服务器





# KERBEROS

- 基于一个集中的认证服务器(可信第三方), 实现服务器 (**Bob Server**) 与用户(**Alice**) 间的双向认证
  - AS, Authentication Server
  - KDC
- 基于对称加密实现, 没有采用公开密钥体制
- 版本4使用**DES**算法



# Kerberos V4

## ● 术语:

1. **C**=客户
2. **AS**=认证服务器（存放着所有用户及用户口令信息）
3. **V**=服务器
4. **ID<sub>c</sub>** =在**C**上的用户标识符
5. **ID<sub>v</sub>** =**V**的标识符
6. **P<sub>c</sub>**=在**C**上的用户口令
7. **AD<sub>c</sub>**=**C**的网络地址
8. **K<sub>v</sub>**=**AS**和**V**共享的加密密钥



# 一个简单的基于可信第三方的认证对话

(1)  $C \rightarrow AS: ID_c \parallel P_c \parallel ID_v$

(2)  $AS \rightarrow C: Ticket$

(3)  $C \rightarrow V: ID_c \parallel Ticket$

$Ticket = E_{K_v}[ID_c \parallel AD_c \parallel ID_v]$



# 存在的问题

- 要求用户频繁地输入口令
- 申请不同的服务，用户需要新的票据
- 口令是明文传送的，敌对方可能窃听到口令
- 敌对方窃听到**Ticket**，摹仿**C**进行重放攻击



# 简单协议的改进

## 增加一个票据许可服务器TGS

用户登录时获取票据许可票:

(1) C → AS: ID<sub>c</sub> || ID<sub>tgs</sub>

(2) AS → C: E<sub>K<sub>c</sub></sub> [Ticket<sub>tgs</sub>]

E<sub>K<sub>c</sub></sub> (user's secret key) is computed by a one-way function from the user's password

请求某种服务类型时获取服务许可票:

(3) C → TGS: ID<sub>c</sub> || ID<sub>v</sub> || Ticket<sub>tgs</sub>

(4) TGS → C: Ticket<sub>v</sub>

获取服务:

(5) C → V: ID<sub>c</sub> || Ticket<sub>v</sub>

$\text{Ticket}_{\text{tgs}} = E_{K_{\text{tgs}}}[\text{ID}_c || \text{AD}_c || \text{ID}_{\text{tgs}} || \text{TS}_1 || \text{Lifetime}_1]$

$\text{Ticket}_v = E_{K_v}[\text{ID}_c || \text{AD}_c || \text{ID}_v || \text{TS}_2 || \text{Lifetime}_2]$

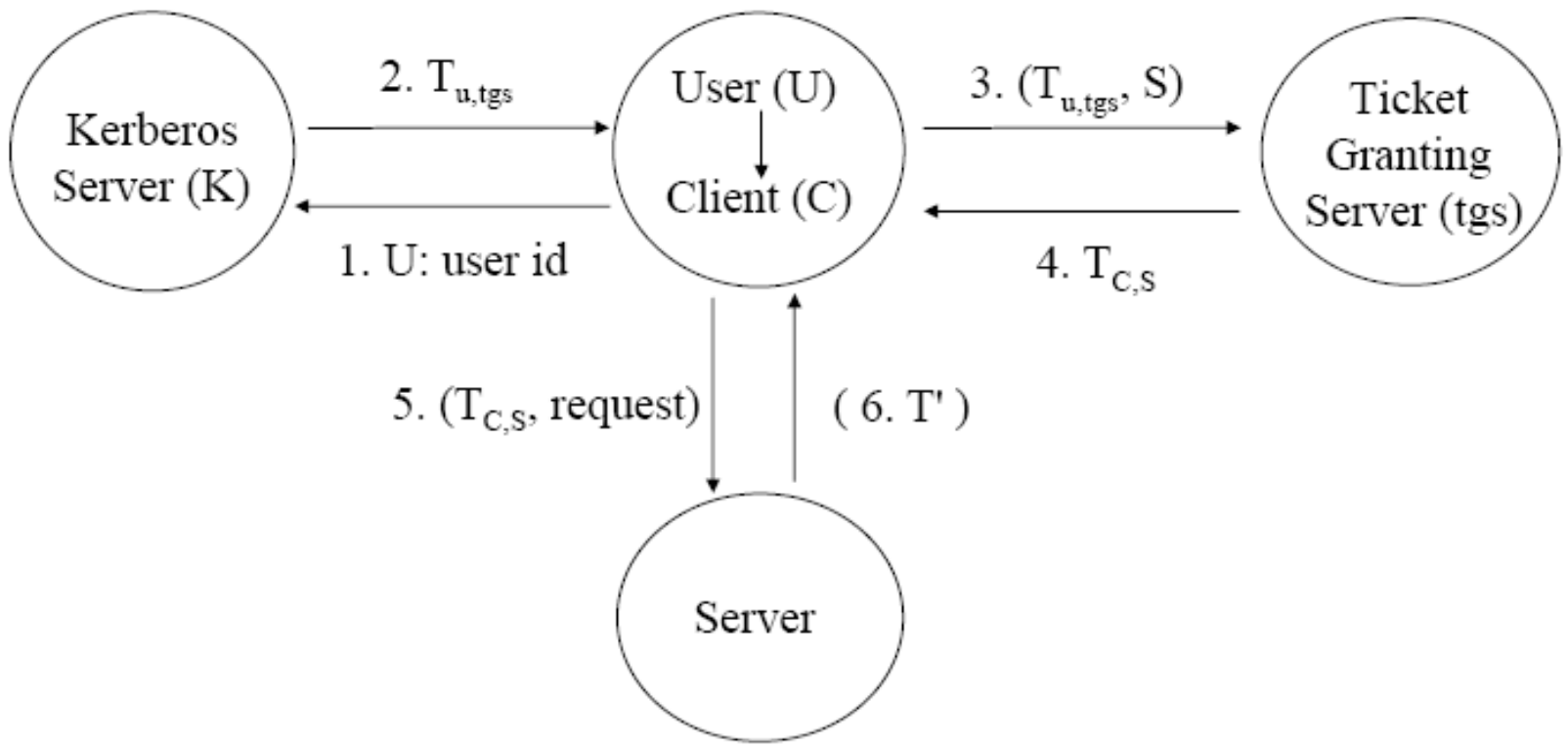


# 存在的问题

- 每一张**ticket**的有效期限设置
  1. 如果太短，要求用户频繁地输入口令
  2. 如果太长，更多的机会遭受到重放攻击
- 敌对方可能偷窃**ticket**，在它过期之前进行使用
- 服务器如何向用户认证自己



# Protocol steps



**Ticket Structure:**  
 $E_{K(S)} \{C, S, K_{C,S}, \text{timestamp}, \text{lifetime}\}$



# Conventions

## Requirements:

- each user has a private password known only to the user
- a user's secret key can be computed by a one-way function from the user's password
- the AS knows the secret key of each user and the TGS
- each server has a secret key known by itself and TGS





# Kerberos V4 对话

用户登录时获取票据许可票:

- (1)  $C \rightarrow AS$ :  $ID_c \parallel ID_{tgs} \parallel TS_1$   
(2)  $AS \rightarrow C$ :  $E_{K_c} [K_{c,tgs} \parallel ID_{tgs} \parallel TS_2 \parallel Lifetime_2 \parallel Ticket_{tgs}]$

请求某种服务类型时获取服务许可票:

- (3)  $C \rightarrow TGS$ :  $ID_v \parallel Ticket_{tgs} \parallel Authenticator_c$   
(4)  $TGS \rightarrow C$ :  $E_{K_{c,tgs}} [K_{c,v} \parallel ID_v \parallel TS_4 \parallel Ticket_v]$

$$Authenticator_c = E_{K_{c,tgs}} [ID_c \parallel AD_c \parallel TS_3]$$

获取服务:

- (5)  $C \rightarrow V$ :  $Ticket_v \parallel Authenticator_c$   
(6)  $V \rightarrow C$ :  $E_{K_{c,v}} [TS_5 + 1]$

$$Ticket_{tgs} = E_{K_{tgs}} [K_{c,tgs} \parallel ID_c \parallel AD_c \parallel ID_{tgs} \parallel TS_2 \parallel Lifetime_2]$$

$$Ticket_v = E_{K_v} [K_{c,v} \parallel ID_c \parallel AD_c \parallel ID_v \parallel TS_4 \parallel Lifetime_4]$$

$$Authenticator_c = E_{K_{c,v}} [ID_c \parallel AD_c \parallel TS_5]$$



# Kerberos协议的实现——MS版本

- Kerberos代替Windows NT的NT LM认证协议，是Win2000的默认认证协议，也是Windows 2000分布式安全服务的一部分
- 与Windows 2000的目录服务集成在一起
  - Kerberos是AD的一部分
- 与系统的授权数据信息结合在一起
- 对MIT Kerberos作了扩展，也不完全兼容

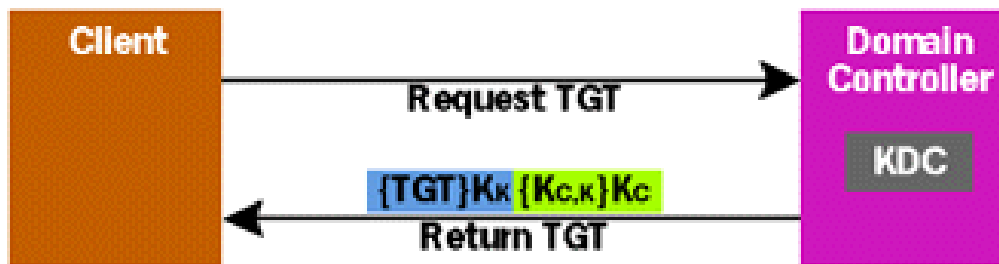


# Win2k Kerberos的Ticket结构



# Ticket交换

- 登录



- ◆ 访问服务

